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Question 1

Question Type: MultipleChoice

How can the Code of Conduct serve as a guidepost for organizations of all sizes and in all industries?

Options:

- A- It is a starting point for policies and procedures in large organizations or those in highly regulated industries, while in small organizations that are less regulated it is the only guidance needed.
- B- It is a legally mandated document that must be established and followed by all organizations.
- C- It sets out the principles, values, standards, or rules of behavior that guide the organization's decisions, procedures, and systems, serving as an effective guidepost.
- D- It is only applicable to large organizations in specific industries.

Answer:

C

Question 2

Question Type: MultipleChoice

What is the primary goal of defining an education plan?

Options:

- A- To evaluate the current skill level of the workforce.
- B- To develop a plan that is tailored to the specific needs of each audience.
- C- To create a helpline for anonymous reporting and asking questions.
- D- To implement Bloom's Taxonomy in the education program.

Answer:

B

Question 3

Question Type: MultipleChoice

Which category of actions and controls in the IACM includes human factors such as structure, accountability, education, and enablement?

Options:

- A- Technology
- B- Policy
- C- Information
- D- People

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Answer:

D

Question 4

Question Type: MultipleChoice

Why is it important to prioritize, substantiate, validate, and route notifications within an organization?

Options:

- A- To prevent employees from receiving any notifications that may cause stress unnecessarily
- B- To ensure that notifications are handled by the right organizational units or roles based on topic, type, and severity
- C- To ensure that notifications are only sent to the CEO and board of directors, or to the General Counsel if a legal issue is raised
- D- To provide the right to respond before any follow-up actions or investigations are started

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Answer:

B

Question 5

Question Type: MultipleChoice

Which of these would not trigger the reconsideration of internal factors within an organization?

Options:

- A- Fluctuations in the stock market and economic conditions.
- B- Ordinary seasonal fluctuations in purchases.
- C- The launch of a new product or service by a competitor.
- D- Changes in government regulations and industry standards.

Answer:

B

Question 6

Question Type: MultipleChoice

How do mission, vision, and values work together to describe an organization's highest purpose?

Options:

- A- The mission describes the organization's reason for existing; the vision describes the organization's plans for the next few years; and values describe the organization's performance evaluation criteria.
- B- The mission describes who the organization serves, what it does, and its goals; the vision describes what the organization aspires to be and why it matters; and values describe what the organization believes and stands for. Together, they define the organization's highest purpose.
- C- The mission describes the organization's financial targets, the vision describes the organization's marketing strategy, and the values describe the organization's pricing model.
- D- The mission outlines the organization's legal obligations, the vision outlines the organization's ideas about meeting those obligations, and the values outline the organization's code of conduct.

Answer:

B

Question 7

Question Type: MultipleChoice

What is the significance of evaluating costs and benefits during design?

Options:

- A- It enables the organization to decide it would rather bear the risk and cost of a compliance enforcement action than spend more money to ensure compliance.
- B- It determines the number of employees to commit to any aspect of the design.
- C- It provides insights into the preferences and behaviors of customers and clients.
- D- It ensures that the costs do not outweigh the benefits of a design decision.

Answer:

D

Question 8

Question Type: MultipleChoice

What are some examples of non-economic incentives that can be used to encourage favorable conduct?

Options:

- A- Appreciation, status, professional development
- B- Stock options, salary increases, bonuses, and profit-sharing
- C- Gift baskets, extra vacation time, and employee competitions
- D- Health insurance, retirement plans, paid time off, and sick leave

Answer:

A

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