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Question 1

Question Type: **MultipleChoice**

The dirty price of a bond is which of the following:

Options:

A- The same as the clean price

B- Clean price + interest of the period from the last coupon payment date

C- Clean price + interest of the period from settlement until the next coupon payment date

D- Clean price + trading commissions

Answer:

B

Question 2

Question Type: **MultipleChoice**

Which of the following investments would be an example of a pooled investment?

Options:

A- Deposit made with a bank

B- Purchase of shares

C- Purchase of a mutual fund

D- Purchase of an equity warrant

Answer:

C

Question 3

Question Type: **MultipleChoice**

Futures contracts are described as fungible (i.e. each contract is the same as all others). Why is this true?

Options:

A- Because the contract terms are negotiable

B- Because the contract periods run consecutively

C- Because the clearing house acts as a counterparty to all trades

D- Because the clearing house is allowed to run long and short positions

Answer:

C

Question 4

Question Type: **MultipleChoice**

The yield to maturity of a bond is calculated with reference to which of the following:?

Options:

A- Coupon and maturity only

B- Coupon, maturity and redemption value only

C- Coupon, maturity, redemption and current value only

D- Coupon, maturity, redemption value, current value and expected sale price only

Answer:

C

Question 5

Question Type: **MultipleChoice**

The borrowings of which of the following are most likely to be driven by regulatory capital requirements?

Options:

A- Banks

B- Corporates

C- Governments

D- Supra nationals

Answer:

A

Question 6

Question Type: MultipleChoice

Which of the following factors in option pricing is the most subjective?

Options:

A- Intrinsic value

B- Interest rates

C- Time to maturity

D- Volatility

Answer:

D

Question 7

Question Type: **MultipleChoice**

Which money market instrument is supported in the secondary market by primary dealers?

Options:

A- Deposits

B- Commercial paper

C- T-bill

D- Corporate bond

Answer:

C

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