Question 1

When assessing whether an organization's IT performance measures are comparable to other organizations in the same industry, which of the following would be MOST helpful to review13

Options:

A) IT governance frameworks

B) Benchmarking surveys

C) Utilization reports

C) Balanced scorecard

Answer:

A

Question 2

An IS auditor finds that application servers had inconsistent security settings leading to potential vulnerabilities Which of the following is the BEST recommendation by the IS auditor?

Options:

A) Improve the change management process

B) Establish security metrics.

C) Perform a penetration test

D) Perform a configuration review

Answer:

D

Question 3

Question Type: **MultipleChoice**

What is the GREATEST concern tor an IS auditor reviewing contracts for licensed software that executes a critical business process?

A) The contract does not contain a right-to-audit clause.

B) An operational level agreement (OLA) was not negotiated.

C) Several vendor deliverables missed the commitment date.

D) Software escrow was not negotiated.

Answer:

D

Question 4

Question Type: MultipleChoice

During the implementation of an upgraded enterprise resource planning (ERP) system, which of Ihe following is the MOST important consideration for a go-live decision?

Options:

A) Business case

B) Rollback strategy

C) Test cases

D) Post-implementation review objectives

Answer:

B

Question 5

Due to limited storage capacity, an organization has decided to reduce the actual retention period (or media containing completed low-value transactions. Which ol the following is MOST important lor the organization to ensure?

Options:

A) The policy includes a strong risk-based approach.

B) The retention period allows for review during the year-end audit.

C) The retention period complies with data owner responsibilities.

D) The total transaction amount has no impact on financial reporting

Answer:

C

Question 6

Which of the following is the MOST efficient way to assess the controls in a service provider's environment?

Options:

A) Review testing performed by the service provider's internal audit department.

B) Review the service provider's master service agreement (MSA).

C) Require the service provider to conduct control self-assessments (CSAs).

D) Obtain an independent auditor's report from the service provider.

Answer:

D

Question 7

Which of the following is the GREATEST risk associated with utilizing spreadsheets for financial reporting in end-user computing (EUC)?

Options:

A) Lack of processing integrity

B) Lack of password protection

C) Increase in operational incidents

D) Increase in regulatory violations

Answer:

A

Question 8

An IS audit reveals that an organization is not proactively addressing known vulnerabilities Which of the following should the IS auditor recommend the organization do FIRST?

Options:

A) Confirm the incident response team understands the issue.

B) Ensure the intrusion prevention system (IPS) is effective.

C) Verify the disaster recovery plan (DRP) has been tested

D) Assess the security risks to the business

Answer:

D

Question 9

Which of the following is the BEST indication of effective IT investment management9

Options:

A) IT investments are implemented and monitored following a system development life cycle (SDLC)

B) IT investments are mapped to specific business objectives

C) Key performance indicators (KPIs) are defined for each business requiring IT Investment

D) The IT Investment budget is significantly below industry benchmarks

Answer:

B

Question 10

Question Type: **MultipleChoice**

During an internal audit of automated controls, an IS auditor identifies that the integrity of data transfer between systems has not been tested since successful implementation two years ago Which of the following should the auditor do NEXT?

Options:

A) Document the finding in the audit report.

B) Review relevant system changes.

C) Review previous system interface testing records.

D) Review IT testing policies and procedures

Answer:

D

Question 11

Question Type: MultipleChoice

When auditing the security architecture of an online application, an IS auditor should FIRST review the

Options:

A) configuration of the firewall

B) location of the firewall within the network.

C) firewall standards

D) firmware version of the firewall

Answer:

B

Question 12

Which of the following is the BEST indicator that an application system's agreed-upon level of service has been met?

Options:

A) CPU utilization reports

B) Transaction response time

C) Security incident reports

D) Bandwidth usage logs

Answer:

C

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